



## FINANCIAL DISCLOSURE STATEMENT ELECTED OFFICIALS AND CANDIDATES

Reporting Period: January 1, 2015 through December 31, 2015

### PART I. IDENTIFYING INFORMATION

FIRST NAME:	INITIAL:	LAST NAME:
CURRENT ADDRESS (WHERE YOU CAN BE SENT CORRESPONDENCE):		
OFFICE (HELD OR SOUGHT)		
E-MAIL ADDRESS		

### PART II. SIGNATURE

This Financial Disclosure Statement describes all interests and related transactions and matters required to be disclosed by the City of Rockville Ethics Ordinance, Section 16-61, et seq. of the City Code with respect to the period indicated and pertaining to the person filing this statement. The statement consists of this cover sheet and Schedules A through I. Financial Disclosure Statements must be filed with the City Clerk on or before April 15.

I hereby make oath or affirm under the penalties of perjury that the contents of this Financial Disclosure Statement, are complete, true and correct to the best of my knowledge, information and belief.

Signature of person filing:

Date:

\_\_\_\_\_

\_\_\_\_\_

Print Name: \_\_\_\_\_

Date received by City Clerk's Office: \_\_\_\_\_

**If you have any questions about your submission, please contact the City Attorney's Office at  
240.314.8150**

**Note:** With respect to Schedules A, B, and C, the following shall be considered to be the interests of the person making the statement: (a) any interest of the person, whether whole or partial; (b) any interest held by the immediate family of the person making the statement, if such interest was at any time during the filing year directly or indirectly controlled by the person making the statement, (c) any interest held by a business entity, in which business entity a thirty percent (30%) or greater equity was held any time during the filing year by the person making the statement, and (d) any interest held by a trust or estate, under which the person making the statement held a reversionary interest at any time during the filing year, or under which trust the person making the statement was at any time during the year a trustor, if a revocable trust, or a beneficiary.

## **Schedule A – Real Property Interests** **(page one of two)**

*A separate Schedule A is required for each real property interest disclosed*  
**Code Reference: Section 16-64(1)**

Did you have any interest (including leasehold interests and interests in any oil, gas or other mineral royalty or lease) in real property during the reporting period?

- ☐ Yes (Please complete questions below) ☐ No (Go to Schedule B)

1. What is the nature of the property and the address or legal description of the property?

a. Nature of the property

- ☐ Improved-if so, indicate whether property is  
☐ Residential  
☐ Commercial  
☐ Unimproved (vacant lot)

b. Street Address or Legal Description

\_\_\_\_\_  
\_\_\_\_\_

2.

a. Identify the nature and extent of the property interest held: \_\_\_\_\_

b. Are there any legal conditions or encumbrances on the property interest?

(Example: mortgages, liens, contracts, options, etc.)

- ☐ Yes  
☐ No

If yes, what is/are the name(s) of the lender(s), creditor(s), lien holder(s), etc.?

\_\_\_\_\_

**Schedule A – Real Property Interests**  
**(page two of two)**

- 3.
- a. What date was the property interest acquired? \_\_\_\_\_
  - b. How was the property interest acquired? \_\_\_\_\_  
(Example: purchase, gift, inheritance, etc.)
  - c. From whom was the property acquired? \_\_\_\_\_
4. What consideration was given when the property interest was acquired? \_\_\_\_\_  
(Dollar amount paid, or if you acquired the interest other than by purchase, the fair market value at the time you acquired your interest in the property)
5. Have you transferred, in whole or in part, any interest in this property during the reporting period?
- ☐ Yes
  - ☐ No
- If yes, then:
- a. Describe the interest transferred: \_\_\_\_\_
  - b. What consideration did you receive for the interest? \_\_\_\_\_
  - c. To whom did you transfer the interest? \_\_\_\_\_
6. Identify any other person with an interest in the property: \_\_\_\_\_

## Schedule B – Interests in Corporations and Partnerships (page one of two)

*A separate Schedule B is required for each interest disclosed*

**Code Reference: Section 16-64(2)**

During the reporting period, did you have any interest in any corporation, partnership, limited liability partnership (LLP), or limited liability corporation (LLC) whether or not the corporation does business with the City?

- ☐ Yes (Please complete questions below) ☐ No (Go to Schedule C)

1. What is the name and address of the principal office of the business entity?

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2. What is the nature and amount of the interest held, including any conditions to and encumbrances in the interest?

a.

- ☐ In your name alone  
☐ Jointly (percentage of your interest: \_\_\_\_\_ %)

- b. What is the nature of your interest and its dollar value or the number of shares?

(Example: stock, notes, bond, puts, calls, straddles, purchase options, etc.)

Type: \_\_\_\_\_

Dollar Value of Shares \$\_\_\_\_\_ OR report equity interest below:

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If an equity interest in a non-publicly traded corporation – Number of Shares: \_\_\_\_\_

If an equity interest in a partnership – Percentage of Ownership: \_\_\_\_\_

- c. Are there any legal conditions or encumbrances that apply to your interest in the entity:

- ☐ Yes  
☐ No

If yes, name of entity holding the encumbrance \_\_\_\_\_

- d. When was the interest acquired? \_\_\_\_\_

- e. How was the interest in the equity acquired? \_\_\_\_\_

(Example: purchase, gift, will, etc.)

- f. From whom did you acquire the interest in the entity? \_\_\_\_\_

- g. What consideration was given when the interest was acquired? \_\_\_\_\_

(Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property)

**Schedule B – Interests in Corporations and Partnerships**  
**(page two of two)**

*A separate Schedule B is required for each interest disclosed*

**Code Reference: Section 16-64(2)**

3. Have you transferred any interest in this entity during the reporting period?

☐ Yes

☐ No

If yes, then:

- a. Describe the interest transferred: \_\_\_\_\_
- b. What consideration did you receive for the interest transferred? \_\_\_\_\_
- c. To whom did you transfer the interest? \_\_\_\_\_

**Schedule C – Interests in Any Other Business Entities**  
**Doing Business with the City**  
**(page one of two)**

*A separate Schedule C is required for each business entity disclosed*  
**Code Reference: Section 16-64(3)**

Do you have an interest in any other business entity not reported on Schedule B that did business with the City of Rockville during the reporting period?

- ☐ Yes (Please complete questions below)                      ☐ No (Go to Schedule D)

1. What is the name and address of the principal office of the business entity?

\_\_\_\_\_  
\_\_\_\_\_

2. What is the nature and amount of the interest held, including any conditions to and encumbrances in the interest?

a.

- ☐ In your name alone  
☐ Jointly (percentage of your interest): \_\_\_\_\_%

- b. What is the nature of your interest and its dollar value or the number of shares?

(Example: stock, notes, bond, puts, calls, straddles, purchase options, etc.)

Type: \_\_\_\_\_

Dollar Value of Shares \$\_\_\_\_\_ OR report equity interest below:

\_\_\_\_\_  
\_\_\_\_\_

If an equity interest in a non-publicly traded corporation – Number of Shares: \_\_\_\_\_

If an equity interest in a partnership – Percentage of Ownership: \_\_\_\_\_

- c. Are there any legal conditions or encumbrances that apply to your interest in the entity:

(Example: mortgages, liens, contracts, options, etc.)

- ☐ Yes  
☐ No

If yes, name of entity holding the encumbrance: \_\_\_\_\_

- d. When was the interest acquired? \_\_\_\_\_

- e. How was the interest in the equity acquired? \_\_\_\_\_

(Example: purchase, gift, will, etc.)

- f. From whom did you acquire the interest in the entity? \_\_\_\_\_

- g. What consideration was given when the interest was acquired? \_\_\_\_\_

(Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property)

**Schedule C – Interests in Any Other Business Entities  
Doing Business with the City  
(page two of two)**

*A separate Schedule C is required for each business entity disclosed*

**Code Reference: Section 16-64(3)**

3. Have you transferred any interest in this entity during the reporting period?

☐ Yes

☐ No

If yes, then:

- a. Describe the interest transferred: \_\_\_\_\_
- b. What is the nature and amount of consideration you received for the interest transferred?  
\_\_\_\_\_
- c. To whom did you transfer the interest? \_\_\_\_\_

## Schedule D – Gifts

Code Reference: Section 16-64(4)

During the reporting period, did you receive any gift, whether directly or indirectly, in excess of a value of \$20 or a series of gifts from the same donor with a cumulative value in excess of \$100 from a person or entity who: 1) did business with the City; or 2) engaged in an activity that was regulated or controlled by the City? Gifts received from parents, spouses, or children, campaign contributions which are otherwise reported as required by law, and meals and beverages need not be disclosed.

☐ Yes (Please provide requested information below)

☐ No (Go to Schedule E)

Name, Address and Affiliation of Donor	Description of Gift	Approximate Retail Value of Gift

Please use additional sheet(s), if necessary, for any additional entries.



## Schedule E – Offices, Directorships, and Salaried Employment

Code Reference: Section 16-64(5)

During the reporting period, did you or any member of your immediate family (domestic partner, spouse or dependent child) have any salaried employment or hold any office or directorship with any business entity that did business with the City?

☐ Yes (Please provide requested information below)

☐ No (Go to Schedule F)

Name and address of the principal office of the business entity	Nature and title of the office, directorship, or salaried employment you, your domestic partner, spouse or dependent child held	Total compensation received and the date the position began	Each City agency with which the entity was involved

Please use additional sheet(s), if necessary, for any additional entries.

## Schedule F – Liabilities

*A separate Schedule F is required for each debt disclosed*

**Code Reference: Section 16-64(6)**

Did you, at some time during the period covered by this statement have any liabilities which were owed to any person doing business with the City, or did you at any time during the period covered by this statement, become involved in transactions giving rise to liabilities owed by a member of your immediate family to any person doing business with the City? You are not required to disclose liabilities owed in connection with retail credit accounts.

NOTE: If, on Schedule A, B or C you listed a financial entity that did business with the City as the holder of your mortgage or other encumbrance, you must complete Schedule F with regard to that indebtedness.

☐ Yes (Please complete questions below)

☐ No (Go to Schedule G)

1. To whom was the liability owed? \_\_\_\_\_  
(Do not include retail accounts)

2. When was the liability incurred? \_\_\_\_\_

3. What was the amount of the liability owed as of the end of the reporting period? If debt existed during the reporting period but was paid in full at the end of the period, put \$0. \$\_\_\_\_\_

4. What are the terms of payment of the liability? \_\_\_\_\_

5. Did the principal of the debt decrease or remain the same during the reporting period? \_\_\_\_\_

6. If increased or decreased, by how much? \$\_\_\_\_\_

7. Was any security given for the debt?

i. Yes

ii. No

a. If yes, please state what type of security was given: \_\_\_\_\_

## Schedule G – Family Members Employed by the City

Code Reference: Section 16-64(7)

During the reporting period, were any members of your immediate family (domestic partner, spouse or dependent children) employed by the City in any capacity?

☐ Yes (Please provide requested information below)

☐ No (Go to Schedule H)

Name of Family Member	Relationship to You	Position / Title that Family Member Held

Please use additional sheet(s), if necessary, for any additional entries.

## Schedule H – Sources of Income

Code Reference: Section 16-64(8)

During the reporting period, were you or a member of your immediate family employed by or were you or a member of your immediate family a sole or partial owner of a business entity from which your or a member of your immediate family earned income?

NOTE: Your minor child's employment or business ownership does not need to be disclosed if the agency that employs you does not regulate, exercise authority over, or contract with the place of employment or the business entity of the minor child.

☐ Yes (Please complete questions below)

☐ No (Go to Schedule I)

1. If during the reporting period, you or a member of your immediate family had employment from which income was earned, list the name and address of the employment, source of income, nature of the income (salary, commission, interest, or other form of income).

Name of person employed (you or immediate family member): \_\_\_\_\_

Name of Employer: \_\_\_\_\_

Address of Employer: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

Source of Income: \_\_\_\_\_

Nature of Income: \_\_\_\_\_

2. If, during the reporting period, you or a member of your immediate family wholly or partially owned any business entity from which income was earned, list the name and address of the business entity, source of income, nature of the income (salary, commission, interest, or other form of income).

Name of person with ownership interest (you or immediate family member): \_\_\_\_\_

Name of Business Entity: \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

Source of Income: \_\_\_\_\_

Nature of Income: \_\_\_\_\_

Please use additional sheet(s), if necessary, for any additional entries.

## Schedule I – Other

**Code Reference: Section 16-64(9)**

In the space below, provide any additional information or interest you would like to disclose:

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

Please use additional sheet(s), if necessary, for any additional entries.